



PTO/SB/08A Substitute for Form PTO-6 TRADEMARK OFFICE PSCA				Application Number	10/054,618
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Filing Date	January 22, 2002
				First Named Inventor	GOH, et al.
				Art Unit	2874
				Examiner Name	Not Yet Known
Sheet	1	of	2	Attorney Docket	72887

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup>			
M		US-6,240,221	05-29-01	Thompson	
M		US-5,359,449	10-25-94	Nishimoto et al.	
M		US-5,157,744	10-20-92	Korotky	
M		US-5,119,447	06-02-92	Trisno	
		US-			

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>			
M		EP 1065534	01-03-01	Nortel Networks Limited	
M		EP 1058136	12-06-00	British Telecommunications	
M		JP 2001-154053	06-08-01	Jacques et al.	
M		JP 2001-100163	04-13-01	Sumitomo Osaka Cement Co Ltd	
M		JP 10-246828	09-14-98	Nippon Telegr & Teleph Corp	
M		JP 07-333446	12-22-95	Nippon Telegr & Teleph Corp	
M		JP 05-142504	06-11-93	Fujitsu Ltd	
M		JP 05-061077	03-12-93	Nippon Telegr & Teleph Corp	
M		JP 04-337707	11-25-92	Fujitsu Ltd	
M		JP 04-113302	04-14-92	Nippon Telegr & Teleph Corp	
M		JP 03-267902	11-28-91	Nippon Telegr & Teleph Corp	
M		JP 03-067204	03-22-91	Nippon Telegr & Teleph Corp	
M		JP 02-130503	05-18-90	Nippon Telegr & Teleph Corp	
M		JP 64-077002	03-23-89	Nippon Telegr & Teleph Corp	
M		JP 63-182608	07-27-88	Nippon Telegr & Teleph Corp	

Examiner Signature	<i>Jim M. L.</i>	Date Considered	03/11/2004
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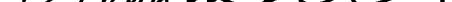
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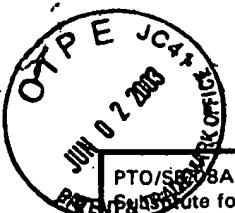
## **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
<i>TM</i>		Abe M, Inoue Y, Moriwaki K, Okuno M, Ohmori Y. Optical path length trimming technique using thin film heaters for silica-based waveguides on Si. Electronic Letters 1996; 32(19):1818-1819.	
<i>TM</i>		Moriwaki K, Abe M, Inoue Y, Okuno M, Ohmori Y. New silica-based 8 x 8 thermo-optic matrix switch on Si that requires no bias power. OFC Technical Digest 1995; Feb. 26-March 3: 211-212.	
<i>TM</i>		Okuno M, Sugita A, Jinguiji K, Kawachi M. Birefringence Control of Silica Waveguides on Si and Its Application to a Polarization-Bean Splitter/Switch. J Lightwave Tech 1994; 12(4): 22-29.	

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PTO/SB/18A Supplemental for Form PTO-1449				Application Number	10/054,618
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Filing Date	January 22, 2002
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M		US- 4,781,424	11-01-98	Kawachi et al.	
M		US- 5,506,925	04-09-96	Green et al.	

## FOREIGN PATENT DOCUMENTS

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



Substitute for Form PTO-1449		Application Number	10/054,618
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#### **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

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<i>ML</i>		International Search Report issued by the European Patent Office on 11 April 2003	
<i>TM</i>		J. Canning et al: Birefringence compensation, improved fringe contrast and trimming in an integrated asymmetric Mach-Zehnder interferometer using mid-IR laser processing	
		vol. 14, no. 2; May 2000	

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